

# Utilisation du Machine learning en sciences du climat :



Améliorer la connaissance des ondes internes de gravité

Rencontres annuelles du GDR  
Défis théoriques pour les sciences du climat

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Aurélie FISCHER  
(LPSM, Université Paris Cité)



## Collaboration with :

Milena CORCOS (LMD)

Sothea HAS (LMD & LPSM)

François LOTT (LMD)

Riwal PLOUGONVEN (LMD)

Albert HERTZOG (LMD)

Aurélien PODGLAJEN (LMD)

Raj RANI (LMD)



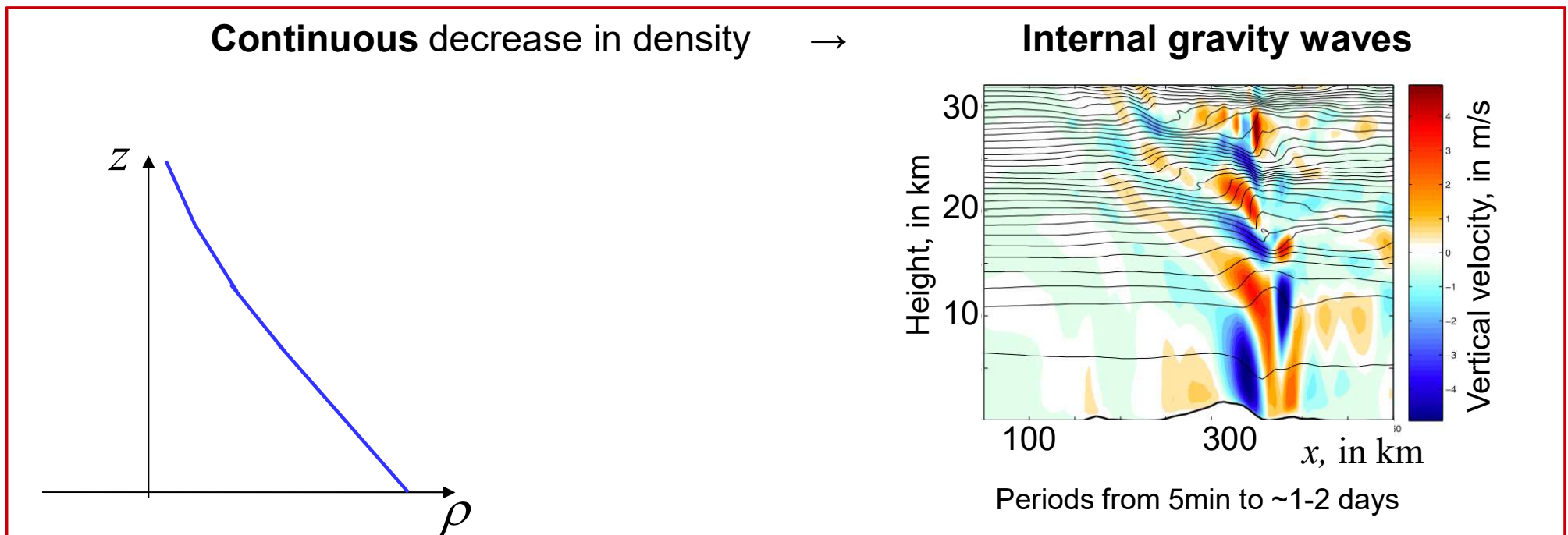
IMPT project (Institut des Mathématiques pour la Planète Terre, CNRS)

DataWave : Collaborative Gravity Wave Research

VESRI project (Virtual Earth System Research Institute, Schmidt Futures)

# Gravity waves

Waves due to gravity and to a contrast in density  $\rho$  in the vertical (denser fluid below...)



# Gravity waves

- Air displaced in the vertical :
    - due to mountains (orographic waves)
    - by jet streaks, fronts, convection
  - Impact for the general circulation : **vertical transfer of momentum** from the troposphere to the stratosphere and mesosphere.
  - Important role in daily weather + long-term climate fluctuations.
- One of the wave families forcing the Quasi-Biennial Oscillation (QBO)
- Quantity of interest = GW momentum fluxes  
accelerate or decelerate flow higher up = change in air momentum

# Need of parameterizations

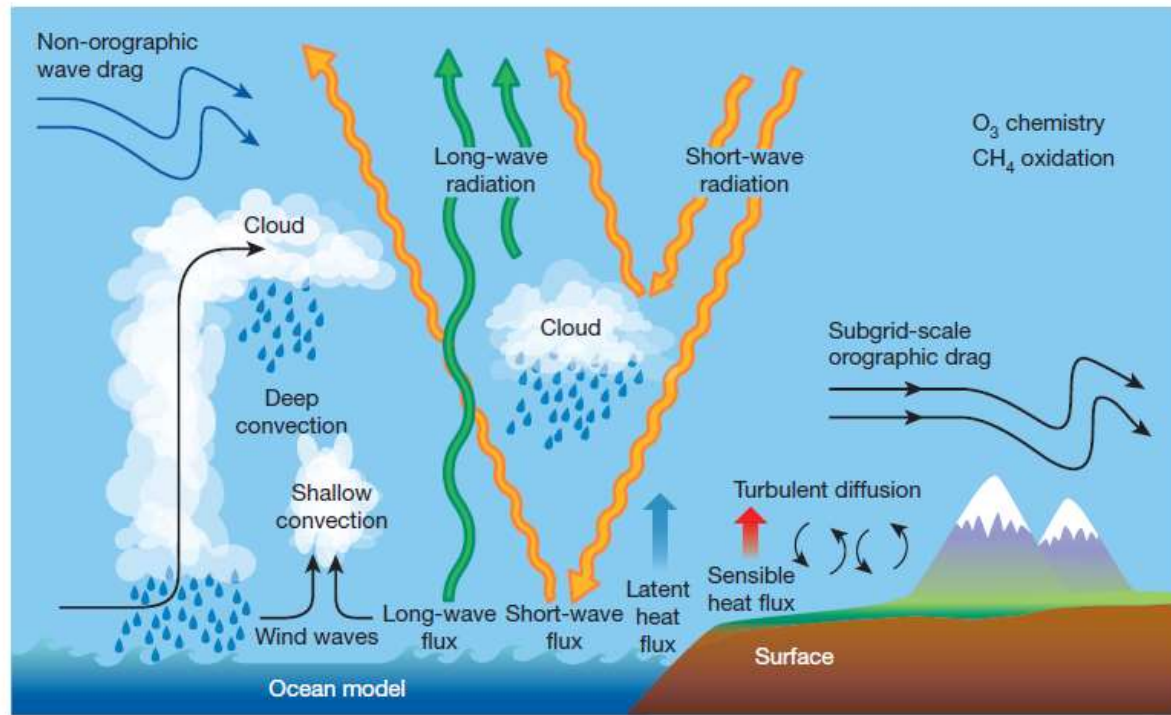


Figure 2 | Physical processes of importance to weather prediction. These are not explicitly resolved in current NWP models but they are represented via parameterizations describing their contributions to the resolved scales in terms of mass, momentum and heat transfers.

Bauer et al 2015

# Need of parameterizations

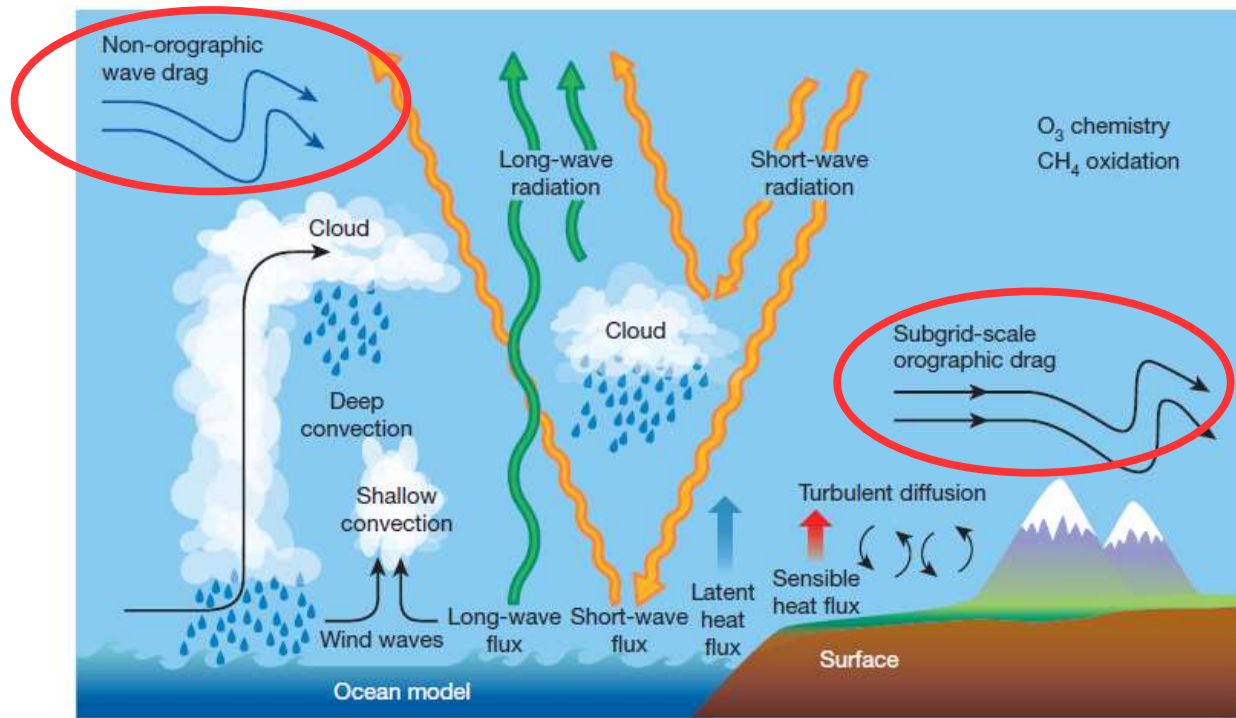


Figure 2 | Physical processes of importance to weather prediction. These are not explicitly resolved in current NWP models but they are represented via parameterizations describing their contributions to the resolved scales in terms of mass, momentum and heat transfers.

Bauer et al 2015

GW are subgrid scale, unresolved processes  
→ necessary to parameterize GW

# Parameterizations

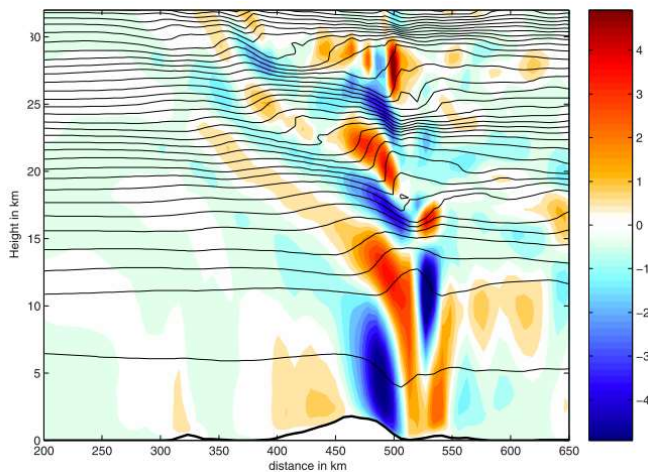
In climate and weather models, workaround to represent subgrid-scale = unresolved processes.

→ Even if unable to include GW in the model, using the knowledge of their actions, represent their **impacts** on the resolved flow.

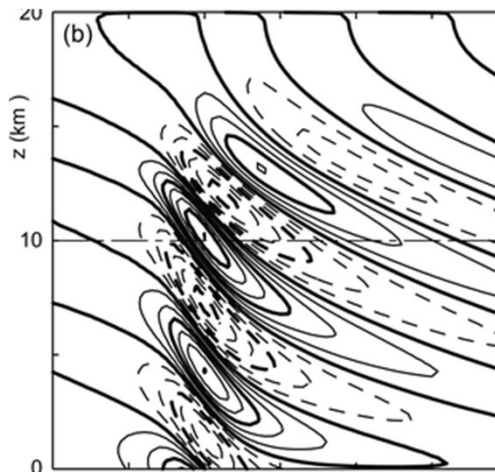
- Universal : in any location, relies on resolved physical variables, not location-specific.
- Physics-based : ideally, should be based on physical laws, as the equations of motions are for the resolved flow.

## Example : orographic waves

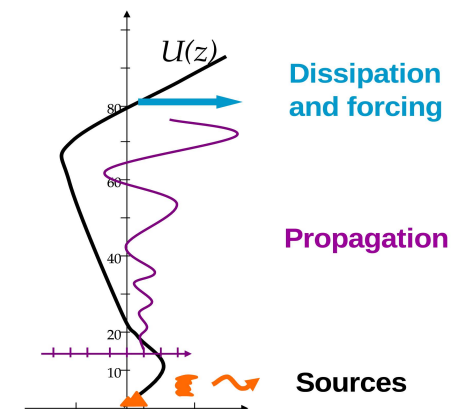
Real / realistic



Idealized, analytic



Parameterization



# Parameterizations

GW dynamics simplified to minimum :

- source specification
- vertical propagation
- dissipation and forcing of the flow

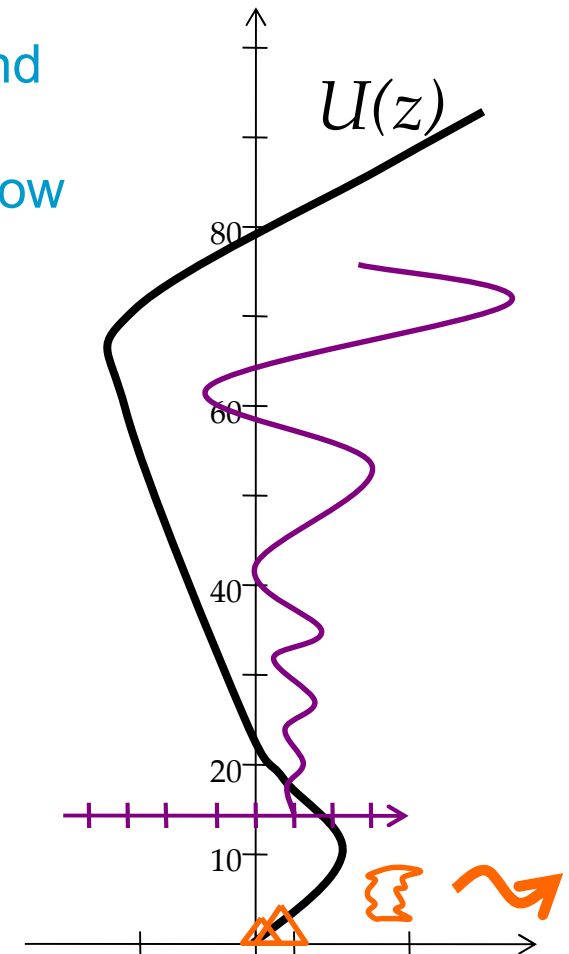
Much research targeting sources.

- Fairly arbitrary, poorly constrained.
  - Parameters conveniently tuned.
- Errors, uncertainty

Dissipation and forcing of the background flow

Propagation

Sources





# Some examples of machine learning applications

- Emulate parameterizations to save computing time
- « Metamodel » from higher resolution simulations
- Data-driven parameterizations built using machine learning
- Relate large scale flow to local observations (gravity waves momentum fluxes)

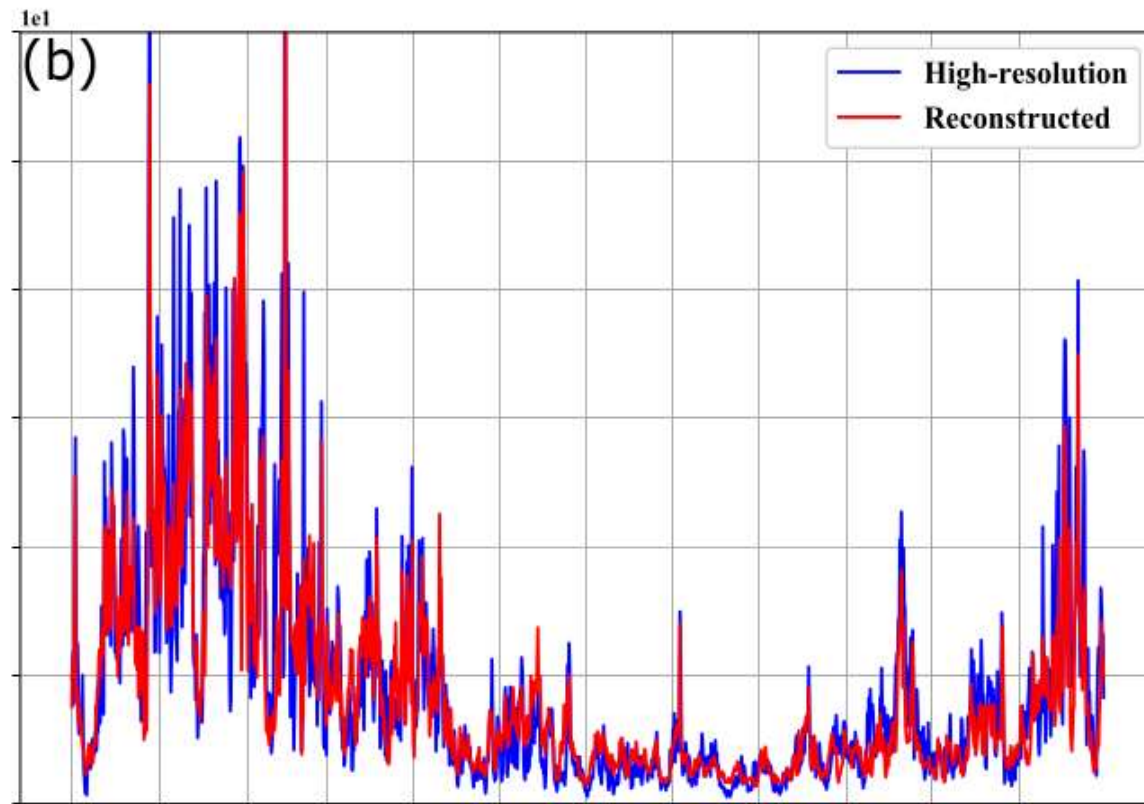
# Metamodeling

Amiramjadi et al, 2020

ECMWF moderate resolution → GW information (target = model information )

ECMWF low resolution → Large-scale flow

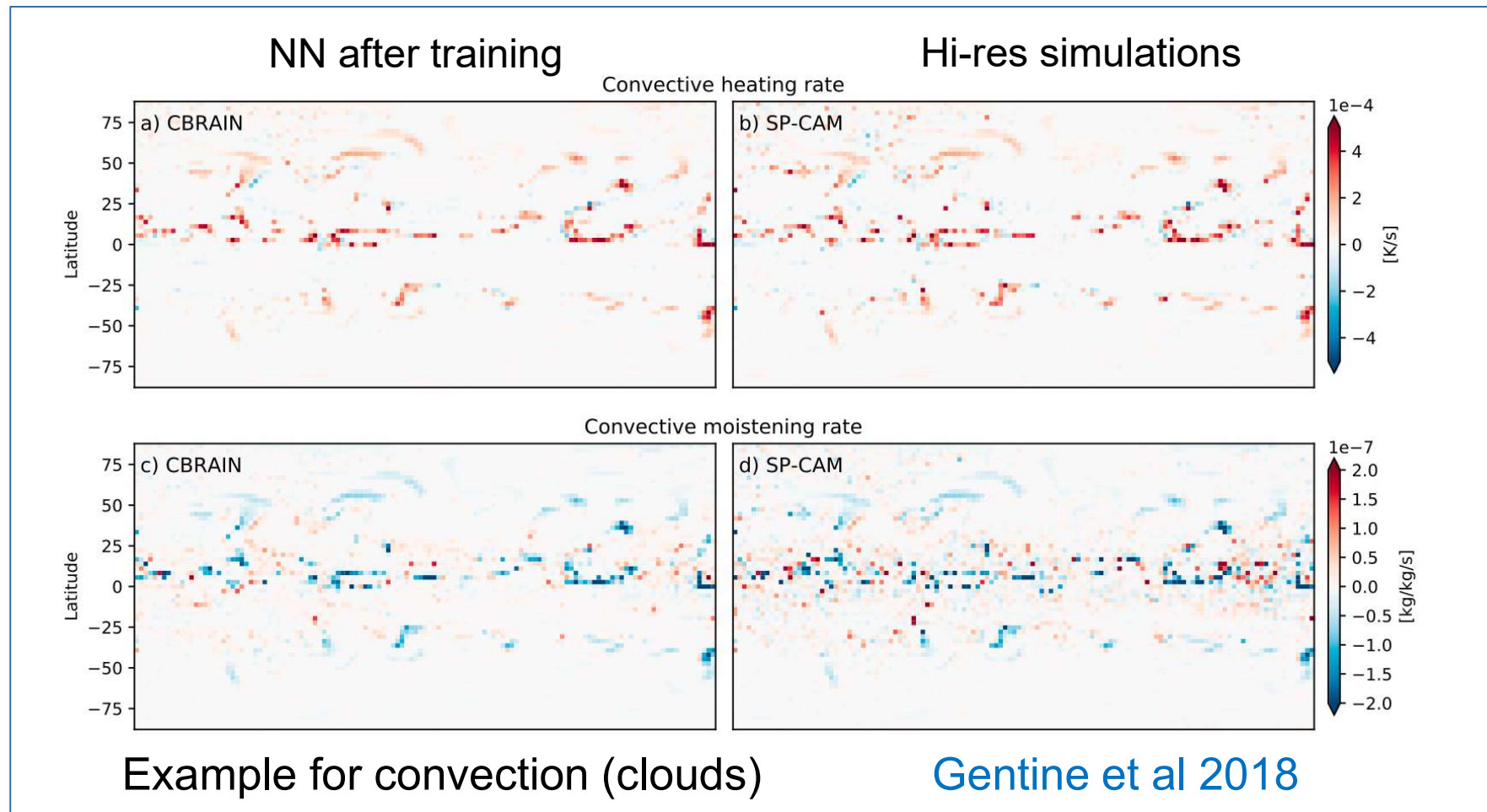
Random Forests to reconstruct GWs



# Data-driven parameterizations using ML

For processes that are *resolvable* (gravity waves, clouds), short high-resolution simulations provide information.

→ Capture relationship between resolved and unresolved processes



ECMWF data → Information on the large-scale flow

Stratospheric balloons → Accurate observations on gravity waves

ML to reconstruct observed GW momentum fluxes from large-scale.

# Observations : stratospheric balloons

Superpressure balloons, 11 and 13 m in diameter

Flight levels : ~18 and ~20 km

Lifetime : 2 to 3 months



# Observations : stratospheric balloons



## Stratéole 2

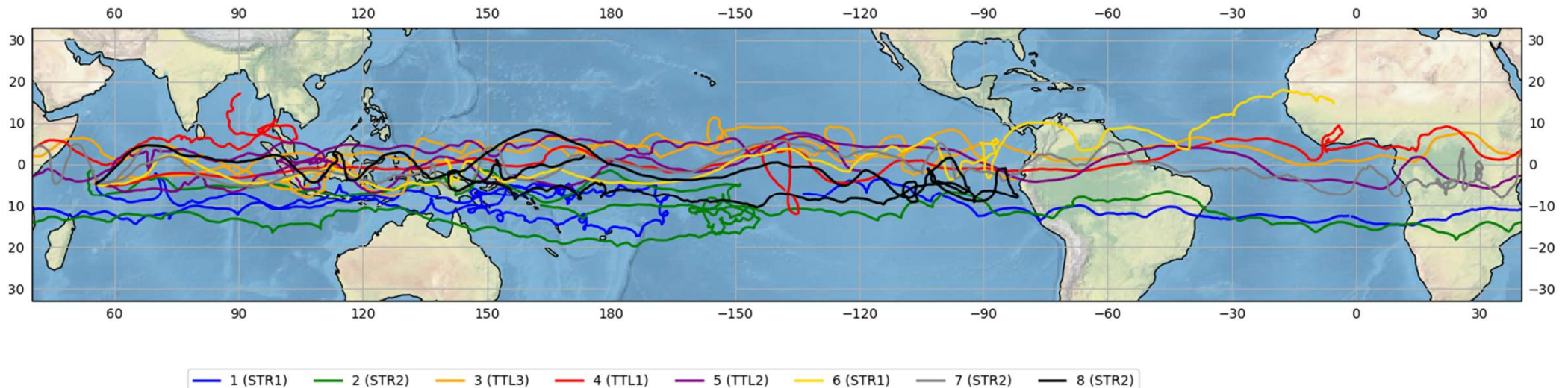
French-US project

2019 campaign C0 :

8 balloons,

November 2019 to February 2020, along the tropics,  
680 days of measurements.

Data registered = 30s observations of position (wind), in-situ air  
pressure + temperature.

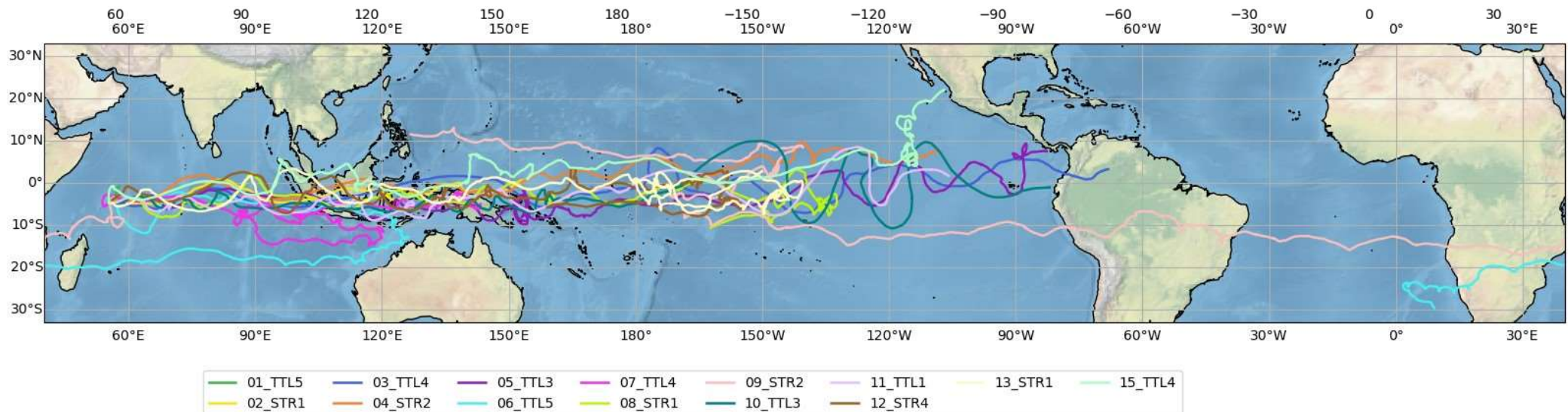


# Observations : stratospheric balloons



## Stratéole 2

2021 campaign C1 :  
17 balloons  
October 2021 to January 2022.



# Observations : stratospheric balloons

Unique and valuable source of information on GW :

Quasi-Lagrangian behavior.

→ Direct access to the **intrinsic frequency** of the GW, thanks to in situ measurements (not remote sensing, from temperature data : uncertainty).

→ accurate estimate of key quantities, using wavelet analysis : momentum fluxes (Hertzog et al., 2012).

→ **Large spatial cover** since the balloons drift.



# Some remarks on the balloons

## ➤ Direction :

Surface wind near the Equator has direction East→West

At balloon altitude, winds alternate between westerlies and easterlies, period of ~28 months (QBO) → East

+ reversal

+ 2 balloons → West (further from the Equator, in South hemisphere)

## ➤ Oscillation 3min : high frequency GW → period 15min

# Explanative variables from Reanalysis ERA5

5th generation of the European Reanalysis

Reanalysis : historical observations + numerical models

→ weather/climate datasets

ERA5 : state-of-the-art global atmospheric reanalysis dataset  
(hourly from 1 to 137 vertical levels).

Extracted variables : precipitation, pressure, wind and temperature profile (67 vertical levels) at 5 x 5 horizontal grid points of 1° x 1° (100km) resolution.

Question : **Which large-scale variables are most informative** about GW ?

# Explanative variables from Reanalysis ERA5

## Inputs :

Temperature : temp

Zonal and meridional wind : u and v

4 levels : 19, 9, 2km and surface level (0km).

log surface pressure: lns<sub>p</sub>

Solar zenith angle : sza

Precipitation: tp, tp<sub>mean</sub>, tp<sub>sd</sub>

**Targets** : two types of absolute, eastward and westward  
GWMFs

➤ High frequency waves (HF) : period 15mn to 1h.

➤ Wide frequency waves (WF) : period 15mn to 1 day.

# Statistical learning setting

We observe a sample  $D_n = \{(X_1, Y_1), \dots, (X_n, Y_n)\}$  from a generic random pair  $(X, Y)$  taking its values in  $\mathbb{R}^d \times \mathbb{R}$ .

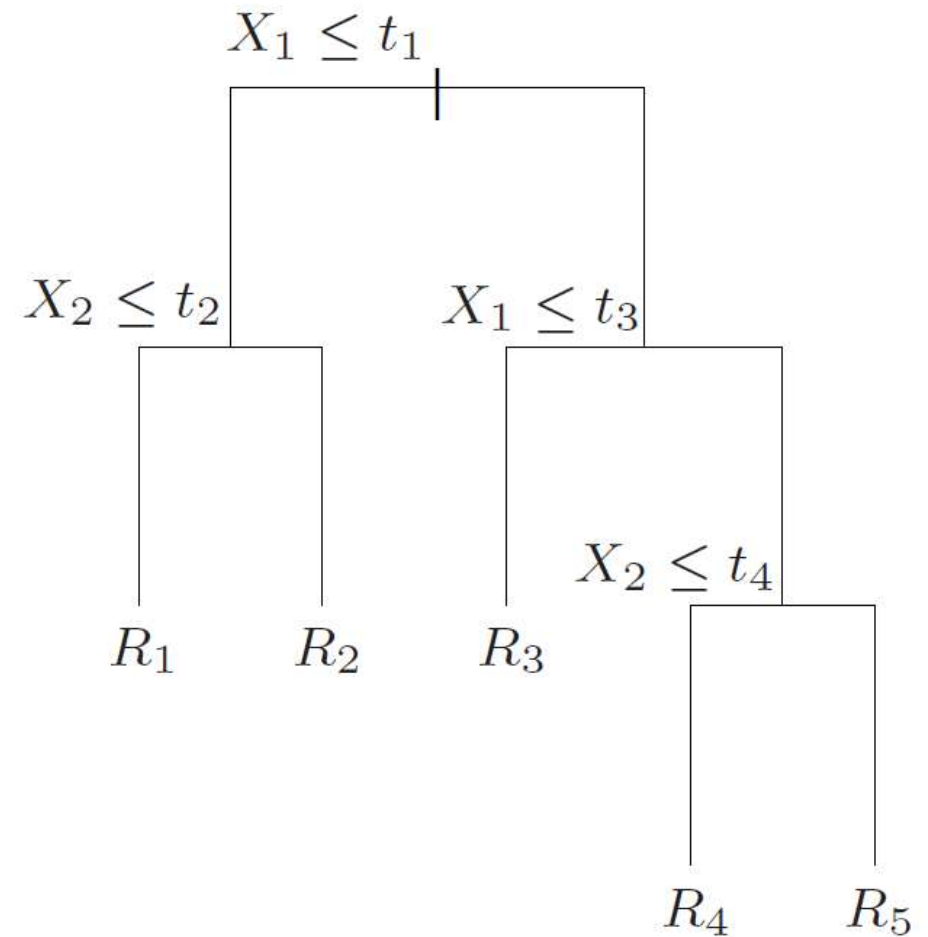
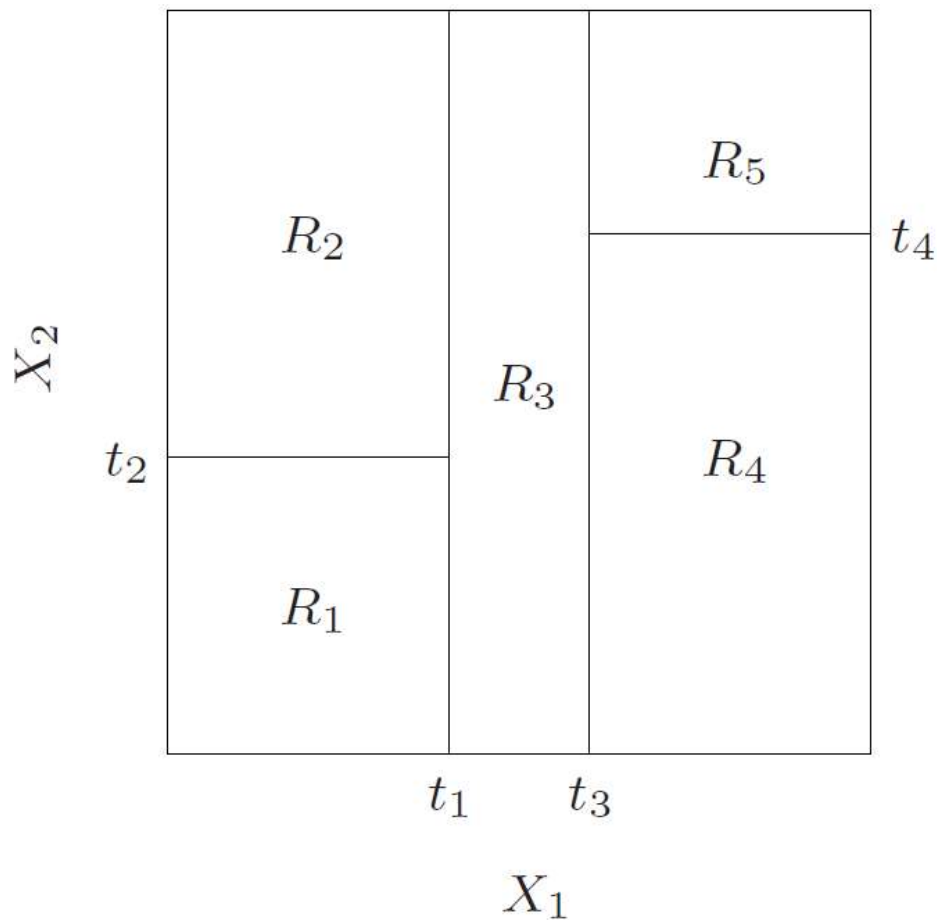
Explain the variable of interest / output  $Y$  using the different features or inputs  $X = (X^1, \dots, X^d)$ .

In other words, based on the data  $D_n$ , we look for some function  $g$  such that  $Y = g(X)$ .

For new  $x$ , predict associated  $y$  by  $g(x)$ .

# Statistical methods

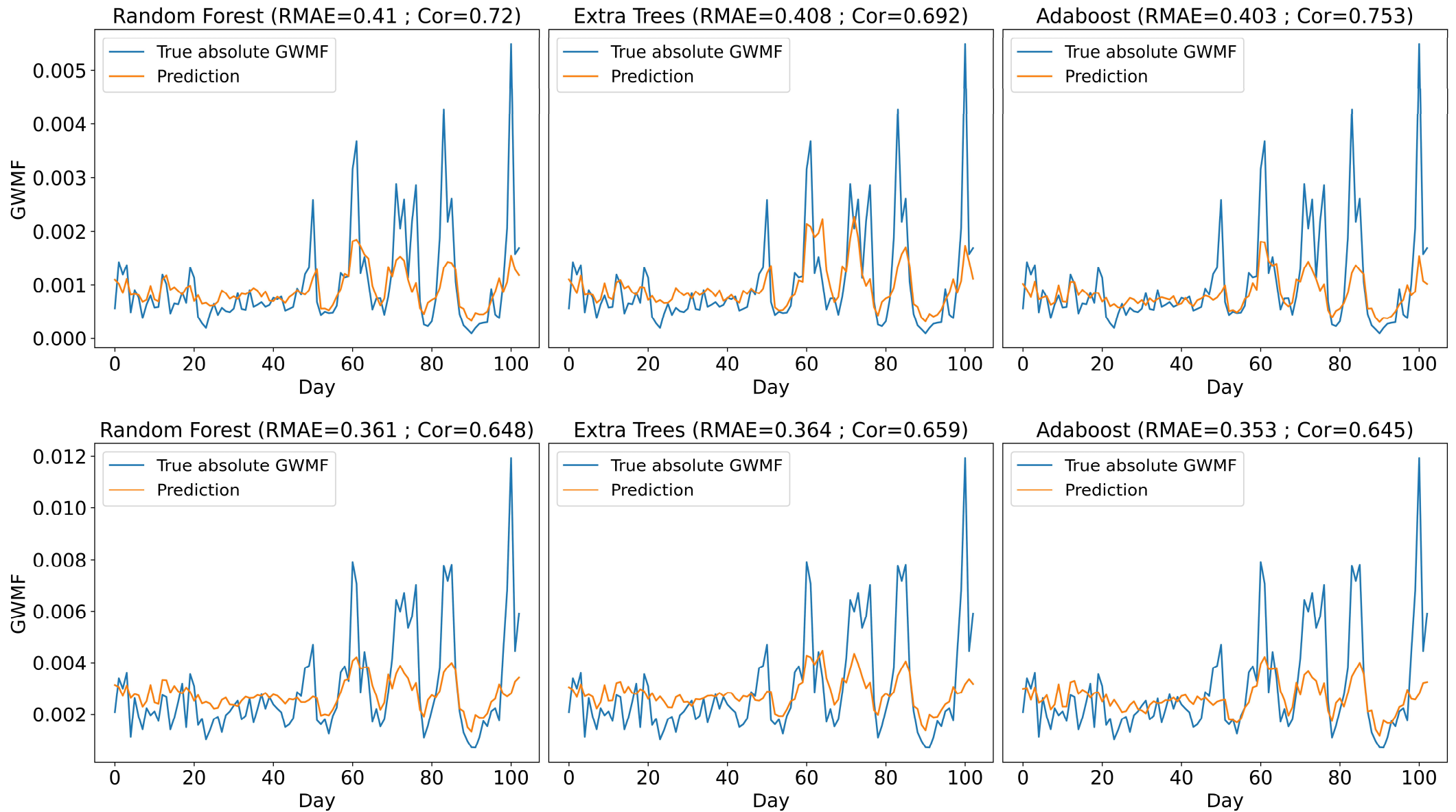
Nonparametric tree-based methods : combining several regression trees



# Statistical methods

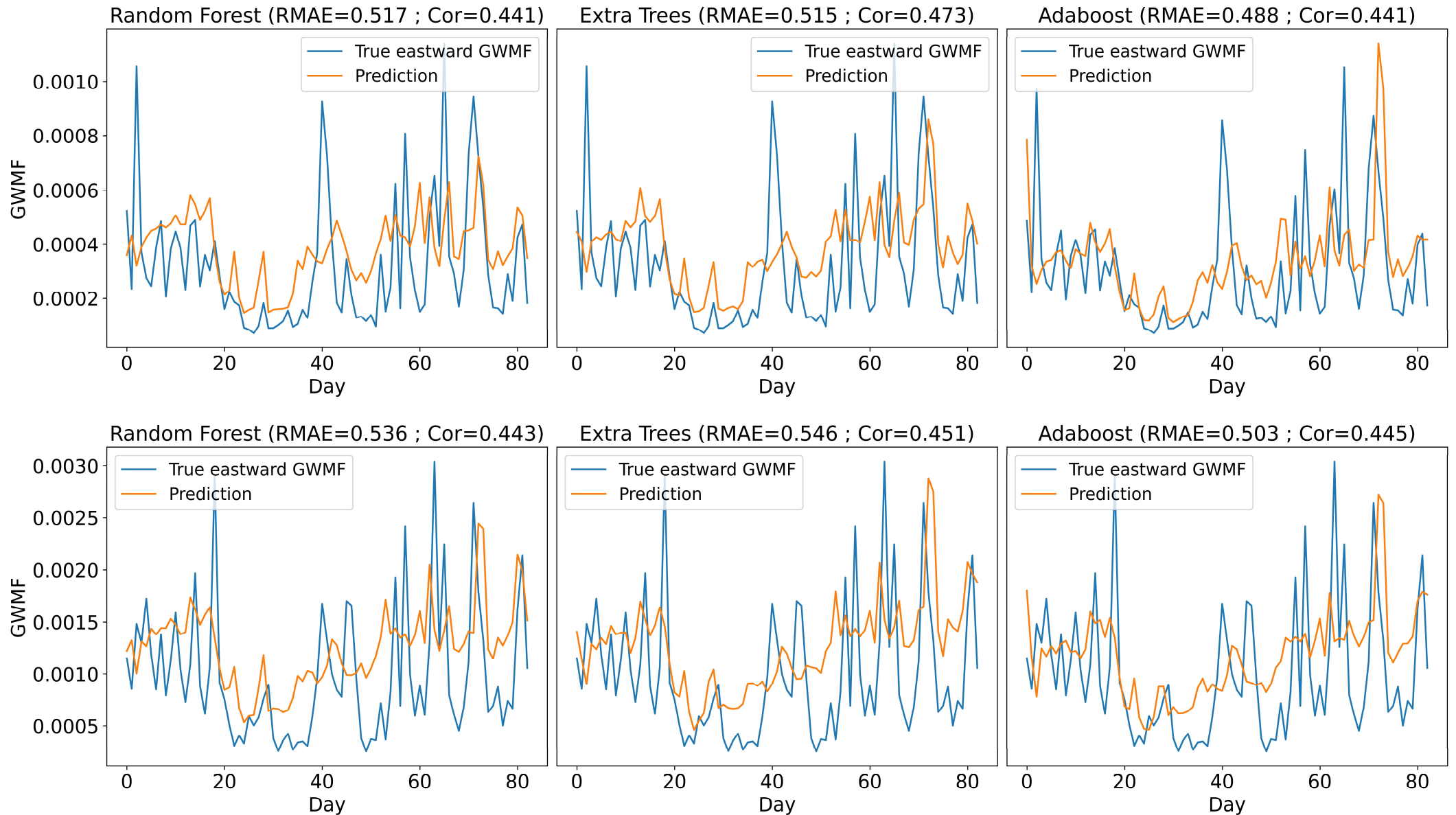
- Random forests : bootstrap samples (resampling) = bagging  
+ subset of variables, at random
- ExtraTrees : initial sample, subset of split thresholds, at random
- Boosting : weak estimators, iterative, based on weights

# Absolute GWMF : Balloon 2



Predicted and actual absolute GWMF of HF (top) and WF (bottom) waves in 24h resolution

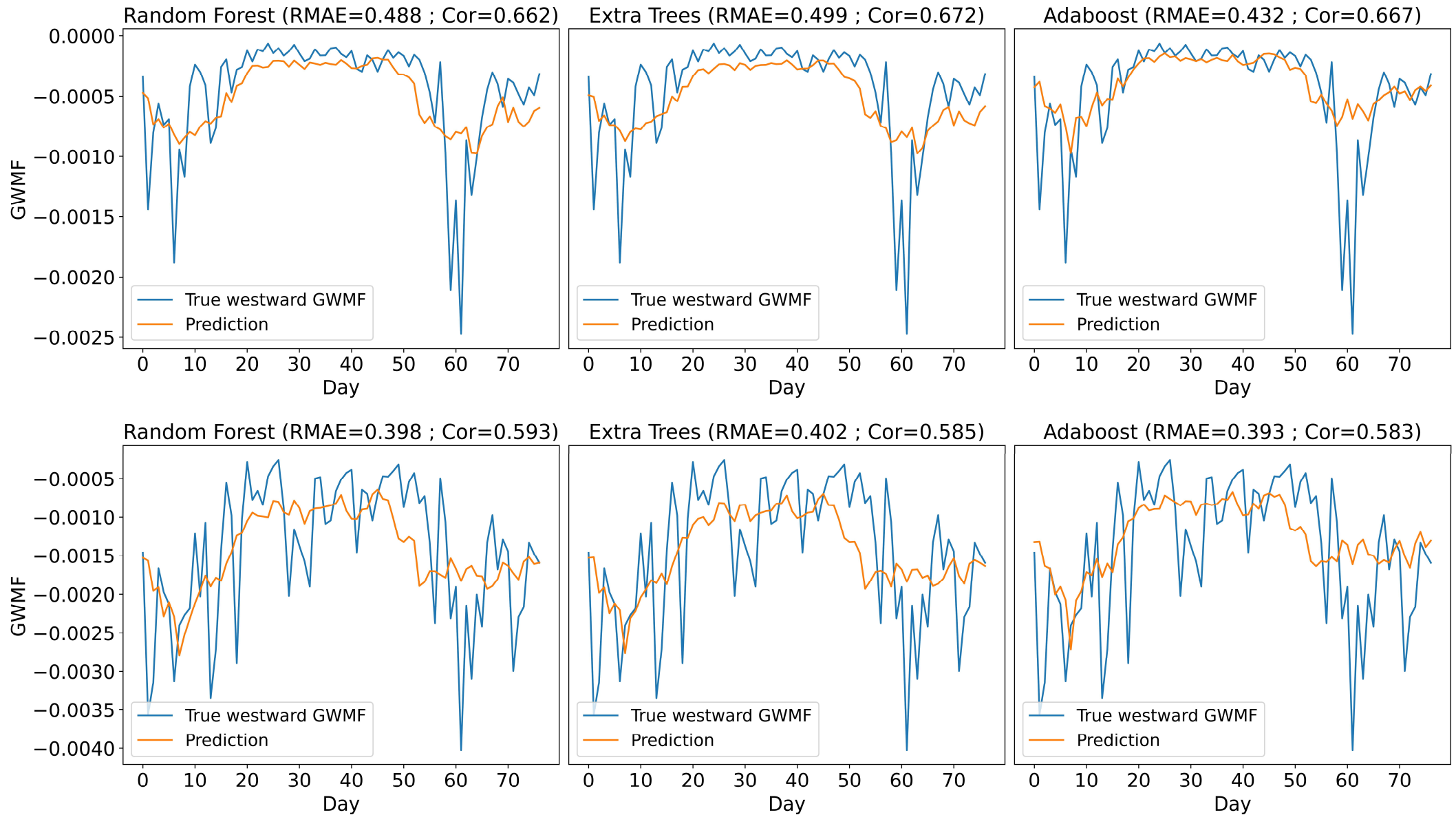
# Eastward GWMF : Balloon 7



Predicted and actual eastward GWMF of HF (top) and WF (bottom) waves in 24h resolution

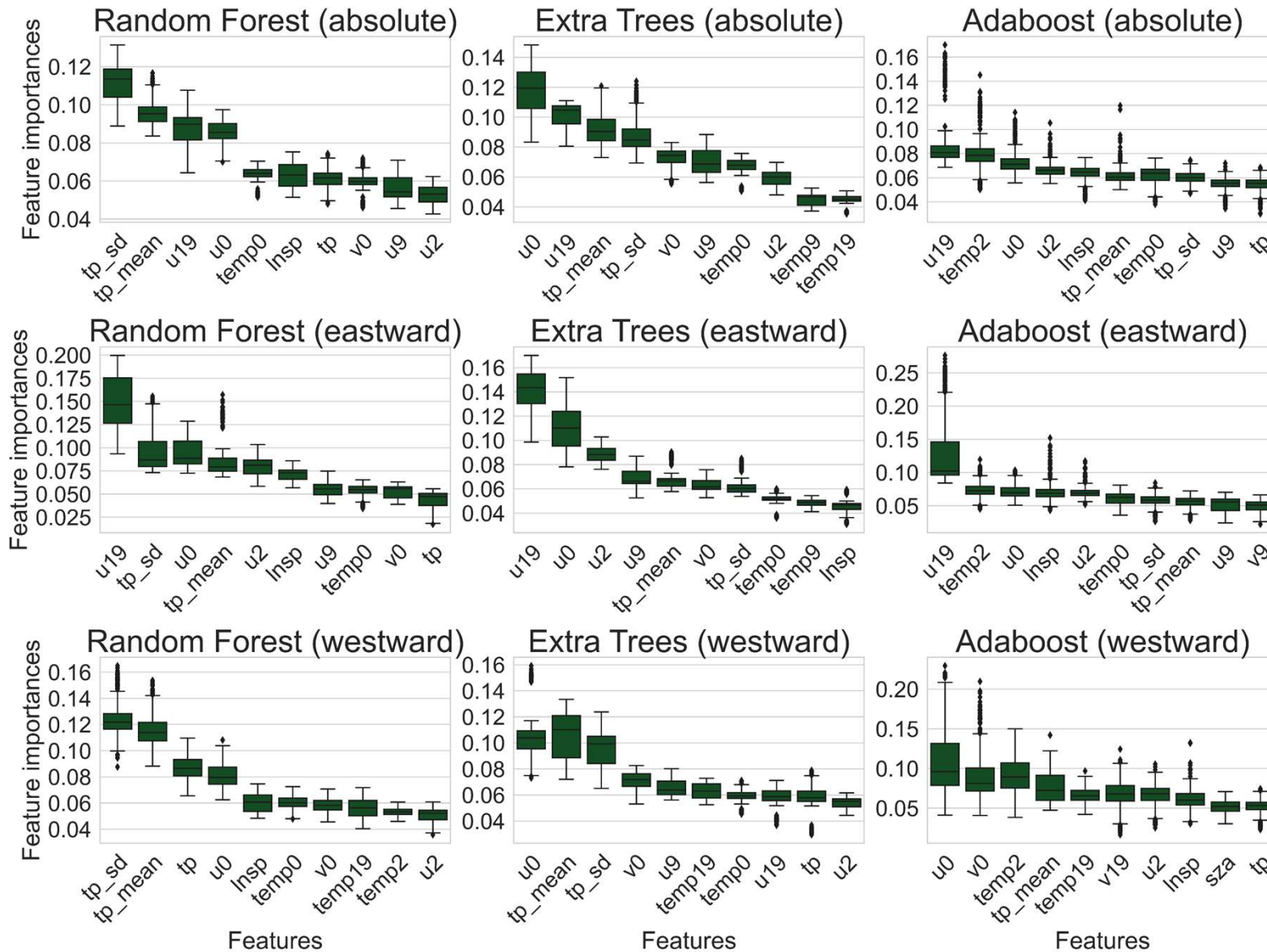


# Westward GWMF : Balloon 8



Predicted and actual westward GWMF of HF (top) and WF (bottom) waves in 24h resolution

# Feature importance : HF

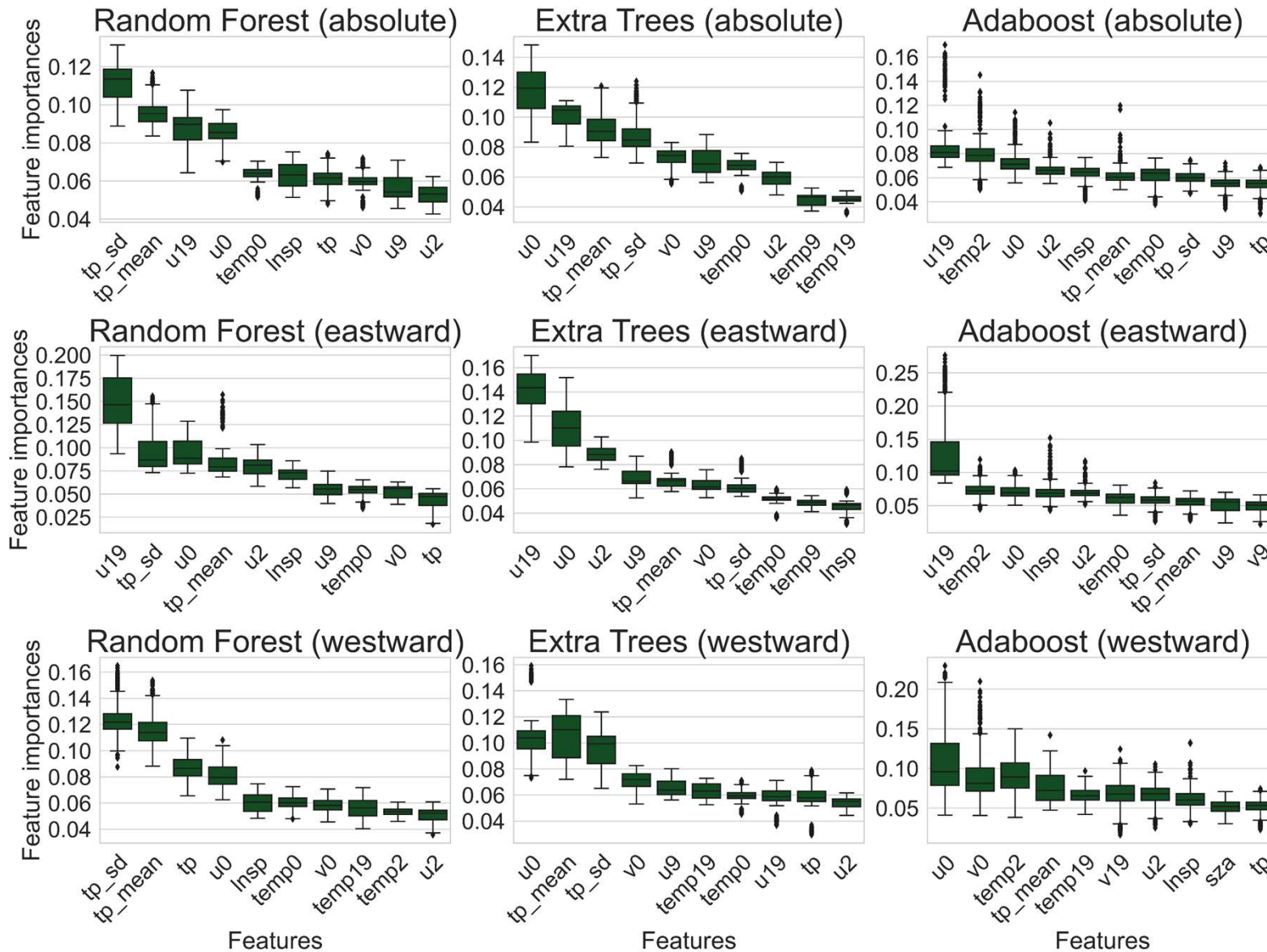


In general, precipitation and zonal wind are the most important features

Wind at balloon level u19 first in eastward case for all models

Surface wind also very informative in many cases

# Feature importance : WF

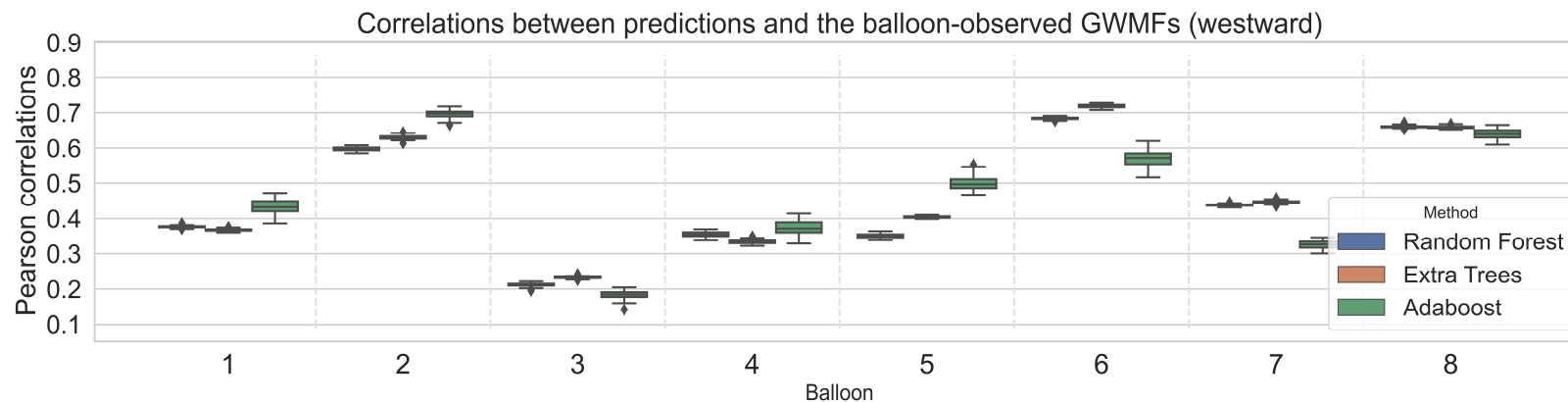
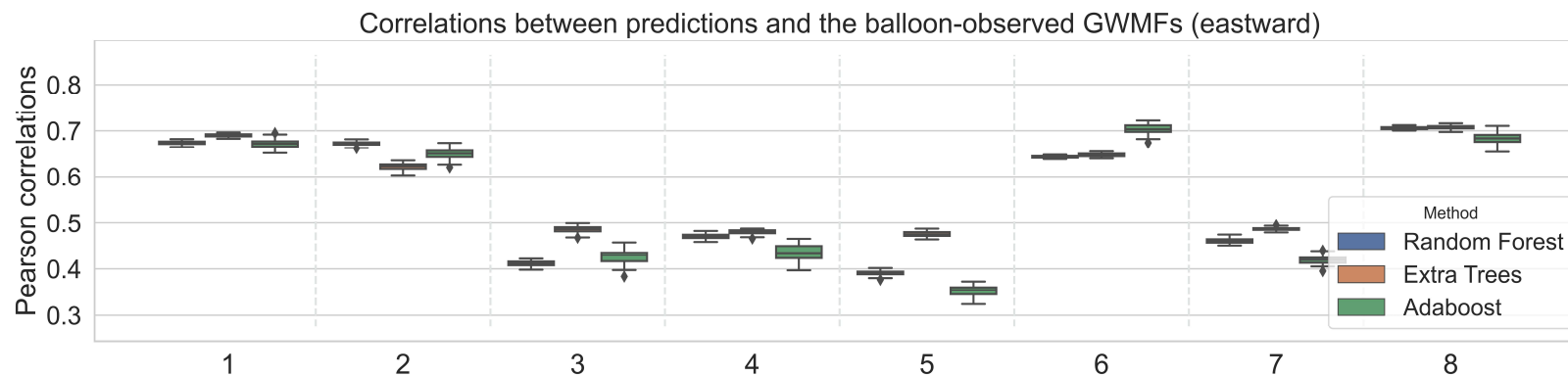
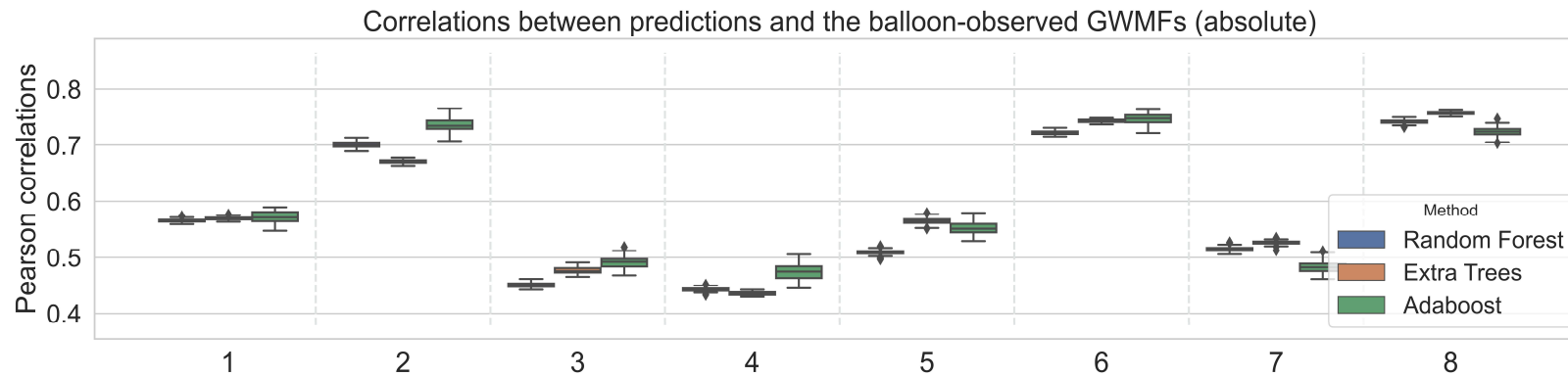


Importance of zonal wind for absolute GWMF

Wind at balloon level u19 in eastward case for all models

Precipitations more informative in westward cases

# Correlations HF (50 runs)

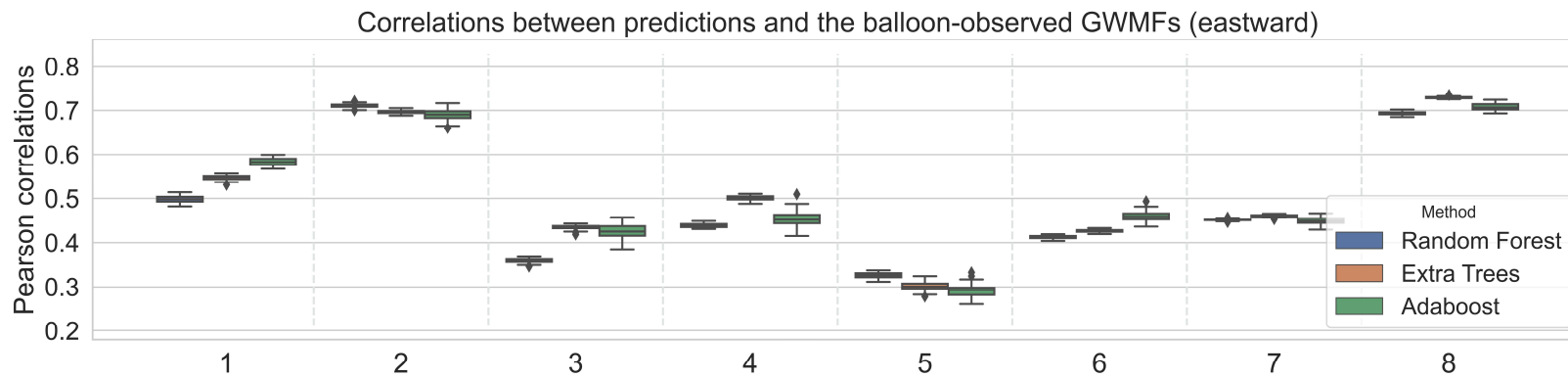
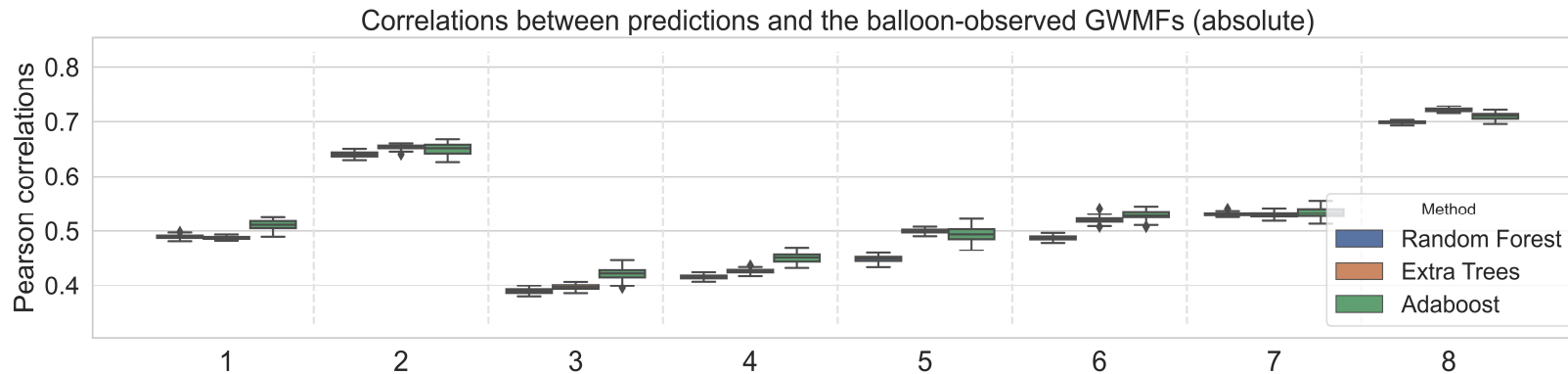


ML methods perform similarly

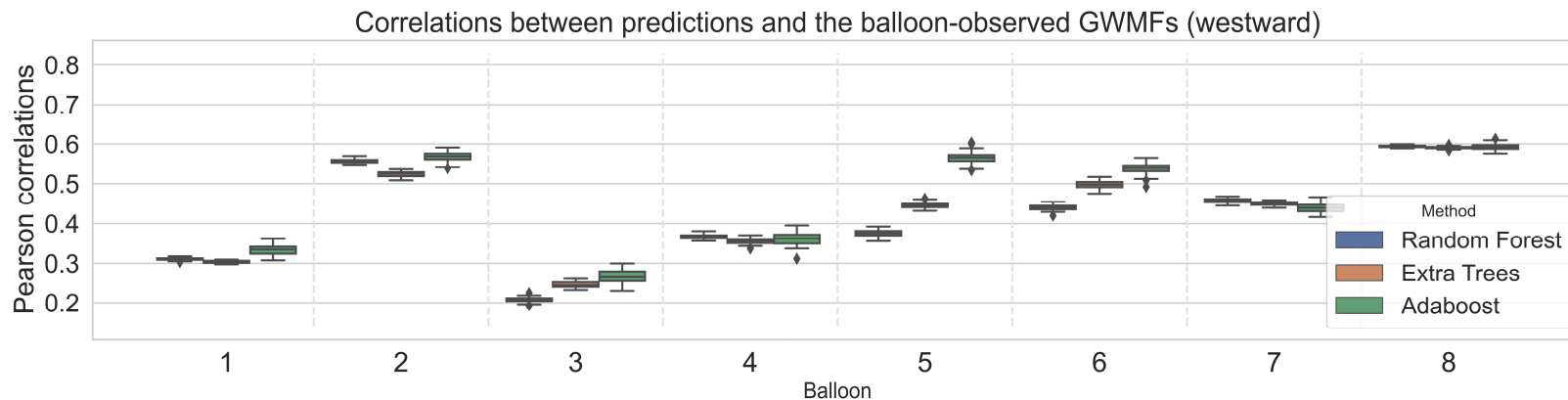
Balloons 2, 6, 8 well predicted (cor > 0.7)

Westward GWMF more challenging

# Correlations WF (50 runs)

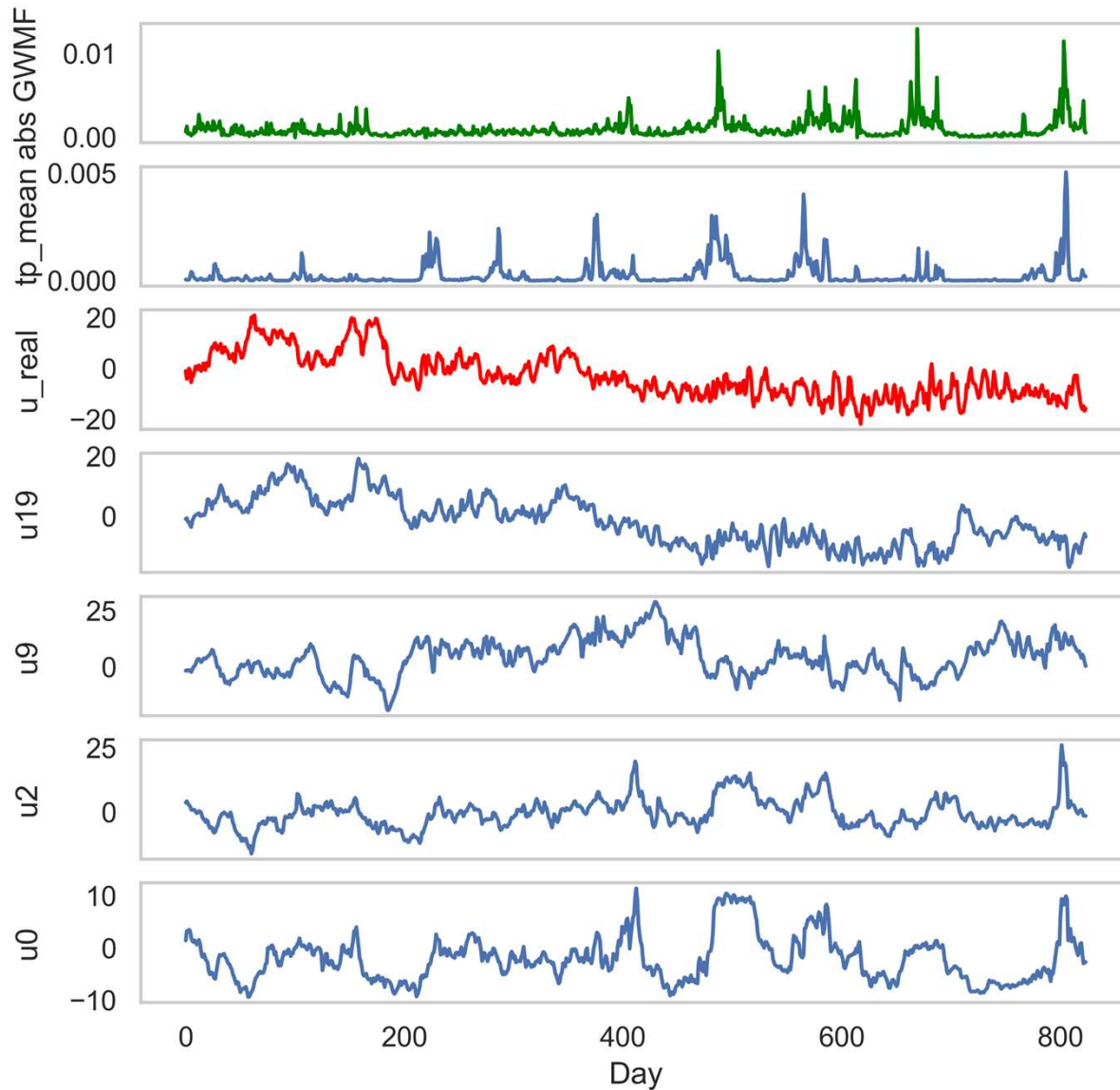


Balloons 2 and 8 still well predicted (cor > 0.7), but not 6



Performance on WF often lower

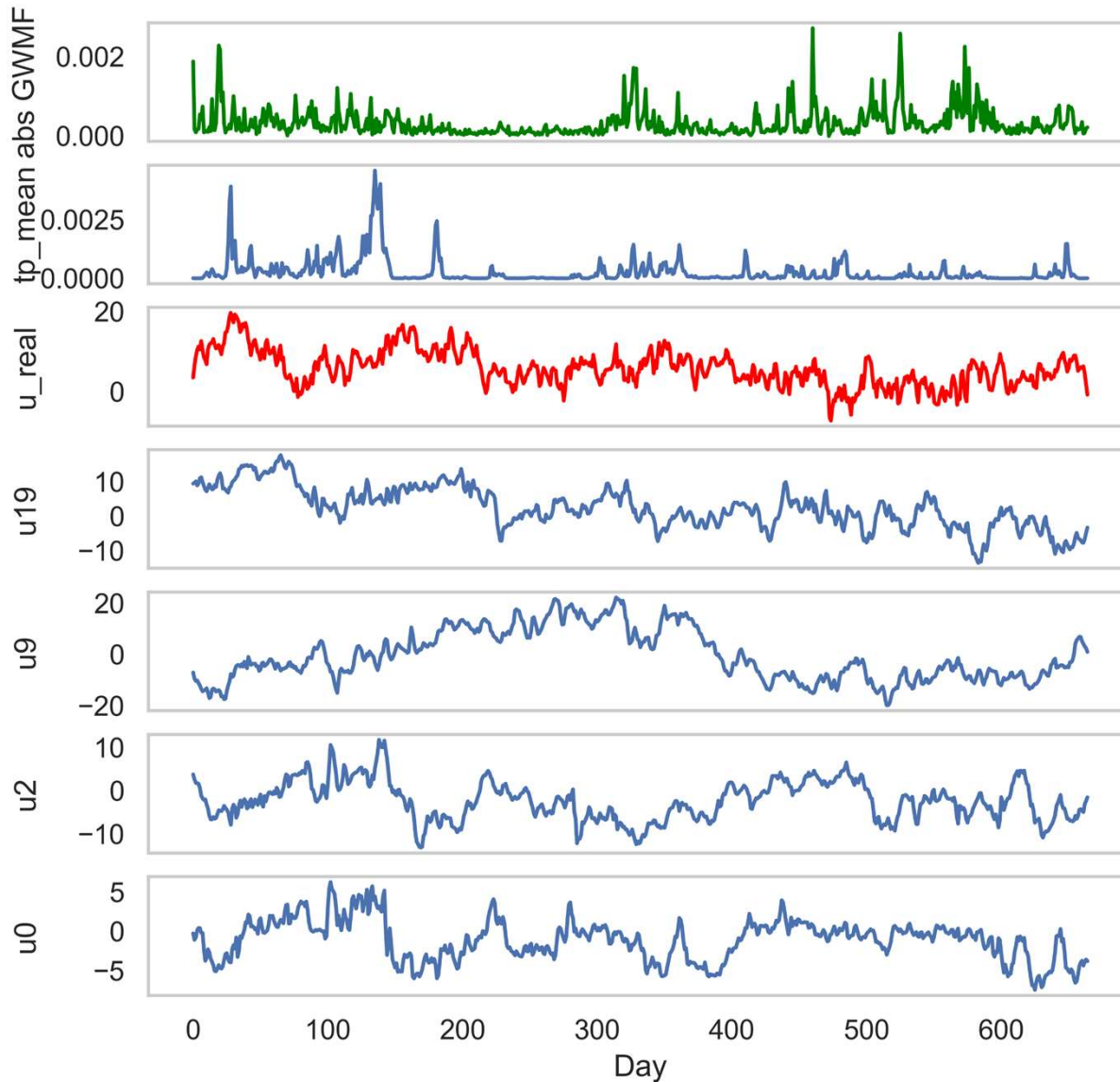
# Absolute GWMF vs important variables : Balloon 2



Precipitations correspond well to GWMF

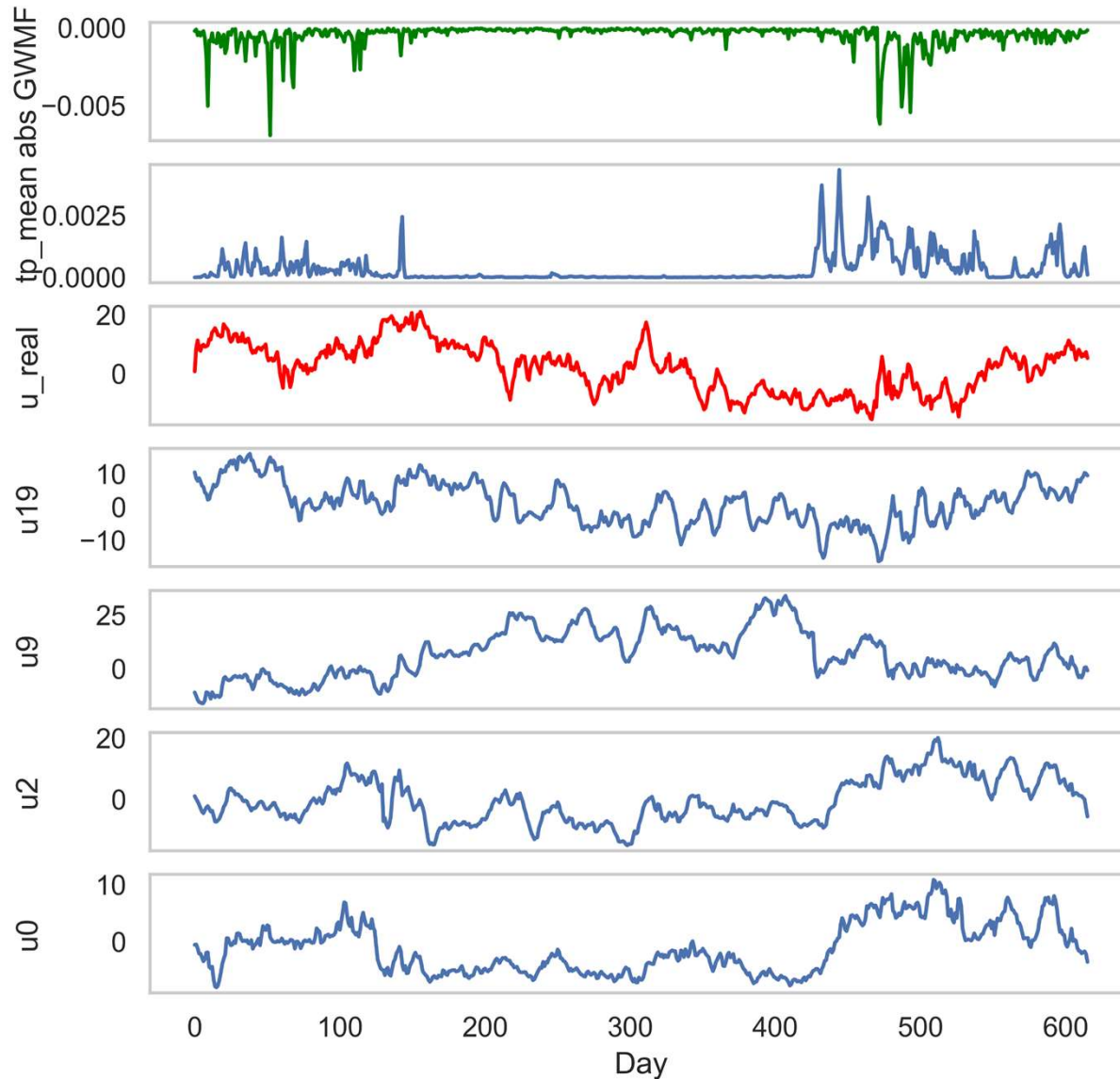
Winds seem informative as well, both at balloon level and below

# Eastward GWMF vs important variables : Balloon 7



Precipitations not very informative.

# Westward GWMF vs important variables : Balloon 8



Precipitations and wind seem more informative than in previous case



# Remark

Differences HF / WF ?

Frequency determined by the angle of the phase lines :  
HF : almost vertical (gravity effective as a restoring force)  
LF : oblique, almost horizontal.

Air motion parallel to phase lines

Local information corresponds well to HF waves  
propagating vertically  
WF background noise difficult to link to a source

# Conclusion and Perspectives

Reconstruction of GWMF up to an encouraging level (correlation > 0.7) → **lower bound** on how much can be reconstructed from large-scale flow described by reanalysis

Most informative variables : **precipitations** + **zonal wind** at and below balloon level

Ocean / land

Observations from C1, next campaign, combination with high resolution simulations

Add further informative inputs ?

For instance, idea : add brightness temperature images